

Meat Institute ELS+ Conference





OSHA Enforcement and Rulemaking Update

April 17, 2024

ERIC J. CONN

CHAIR, CONN MACIEL CAREY'S NATIONAL OSHA PRACTICE



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ERIC J. CONN is Chair of **Conn Maciel Carey LLP**'s national OSHA Practice, where he focuses on all aspects of occupational safety & health law:

- Practiced for 11 years alongside the 1st General Counsel of the OSH Review Commission
- Represents employers in inspections, investigations and enforcement actions involving OSHA, CSB, MSHA, & EPA
- Manages investigations of serious workplace accidents
- Handles all aspects of OSHA litigation, from citation contests and appeals to criminal investigations and prosecutions
- Curator of the award-winning OSHA Defense Report blog
- 1 of only 9 OSHA-specialist attorneys rated "Band 1" nationwide by Chambers USA





Agenda

- 1. OSHA Enforcement Trends and Developments
- 2. OSHA Rulemaking Update
 - Heat Illness
 - Worker Walkaround
 - Emergency Response
 - E-Recordkeeping
 - Cal/OSHA's new Workplace Violence Law (SB 556)





OSHA Enforcement Trends and Data "Using All the Tools in OSHA's Toolbox"



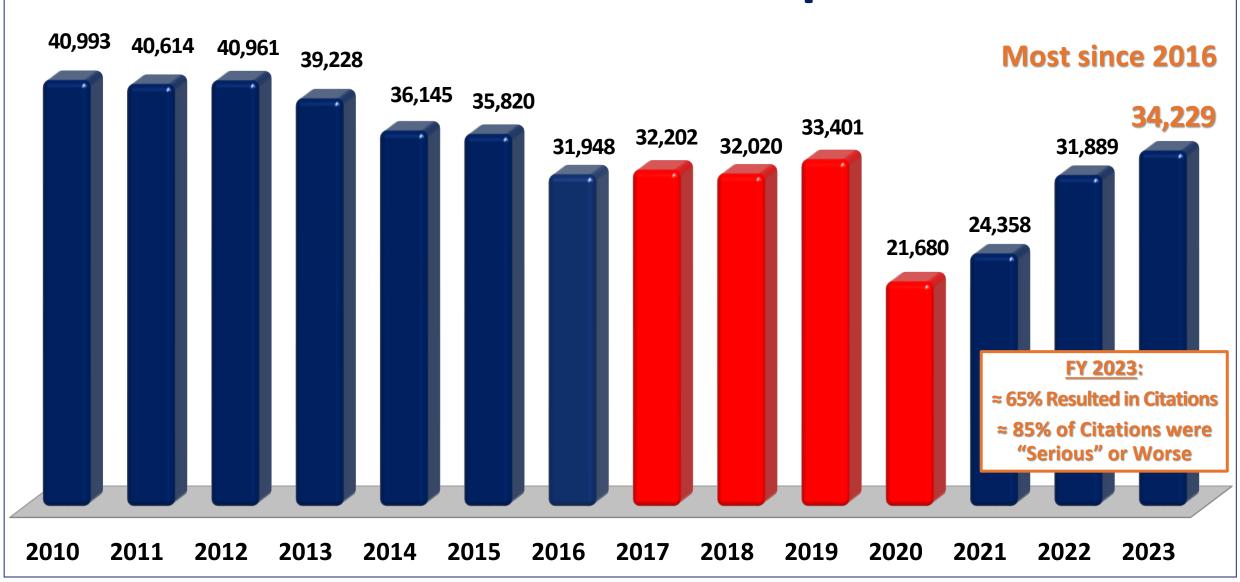


Remarks from Assistant Sec'y and the Solicitor

- Using "All the Tools in the Toolbox" Against "Low Road" Employers
 - More Enforcement = More Deterrence
 - Expanding the Severe Violator Enforcement Program (SVEP)
 - Instance By Instance and Anti-Grouping
 - More Proactive Enforcement Targeting
 - More criminal prosecutions (active criminal referral program)
 - Imminent Danger Notices (litigation or threat of litigation)
 - Greater use of Corporate-wide Settlement Agreements (CSAs)
 - OSHA has started to certify U Visas



Total Fed OSHA Inspections





2023 OSHA Citations

	2002	2008	2013	2019	2021	FY 2023
Serious	58,845	67,052	56,661	36,447	23,047	33,750
Repeat	1,867 2.4%	2,817 3.2%	3,193 4.0%	2,471 4.8%	1,789 5.7%	2,858 5.93%
Willful	331	517	355	404	383	605

- FY 2023 46,347 Total violations (the most total violations in many years)
- New Repeat policies under Obama/Biden increase % of Repeat violations every year
 - Treat facilities within the same corporate entity as a single workplace
 - Look back 5 years at OSHA record for prior violations to be the bases for Repeats
 - Proactive Targeting (follow-up inspections / selecting past violators for inspection)
- 2023 Biden's OSHA shattered the record for most Willful violations in a year



OSHA's Penalty Authority Adjusts w/ Inflation

Classification	Historical	2016 (78% Increase)	2023 (7.8% Increase)	2024 (3.24% increase)
Other-than- Serious	\$7,000	\$12,471	\$15,625	\$16,131
Serious	\$7,000	\$12,471	\$15,625	\$16,131
Willful	\$70,000	\$124,709	\$156,259	\$161,323
Repeat	\$70,000	\$124,709	\$156,259	\$161,323
Failure to Abate	\$7,000 per day	\$12,471 per day	\$15,625 per day	\$16,131 per day



Trade Release

U.S. Department of Labor Occupational Safety and Health Administration Office of Communications Washington, D.C.

www.osha.gov

For Immediate Release January 11, 2024 Contact: Office of Communications

Phone: 202-693-1999

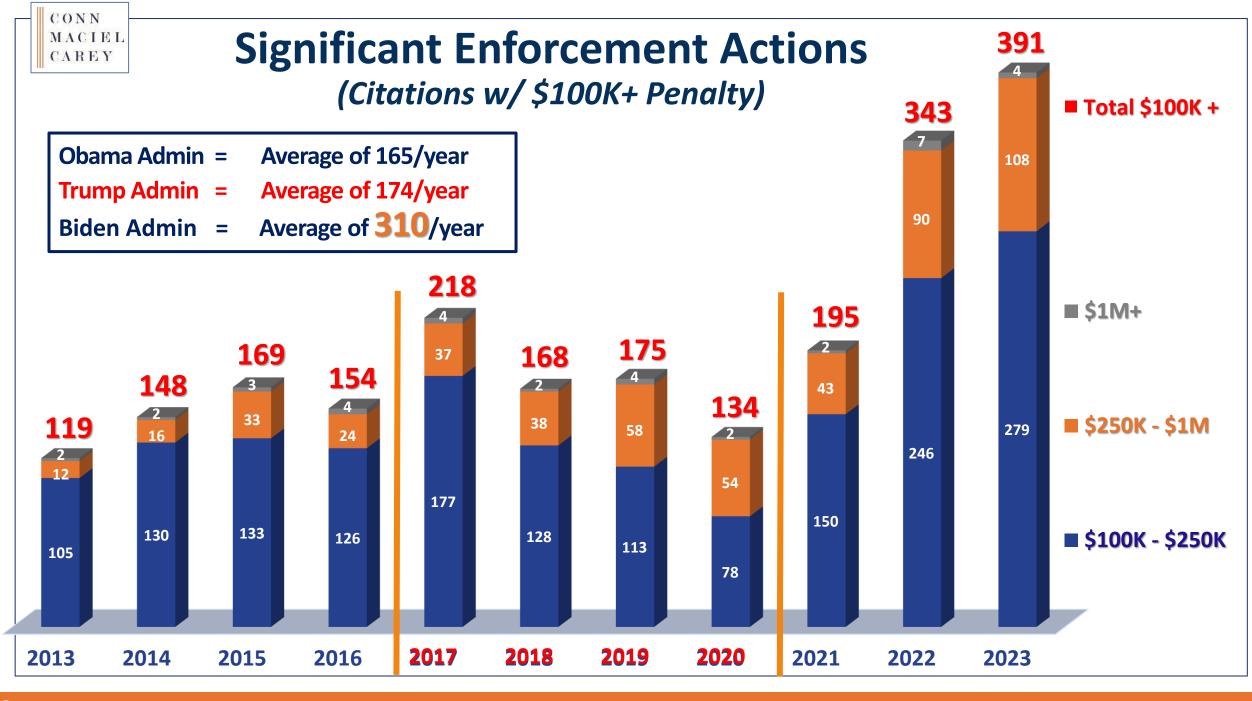
OSHA civil penalty amounts adjusted for 2024

WASHINGTON - The U.S. Department of Labor announced changes to Occupational Safety and Health Administration civil penalty amounts based on cost-of-living adjustments for 2024.

In 2015, Congress passed the Federal Civil Penalties Inflation Adjustment Act Improvements Act to advance the effectiveness of civil monetary penalties and to maintain their deterrent effect. Under the Act, agencies are required to publish "catch-up" rules that adjust the level of civil monetary penalties and make subsequent annual adjustments for inflation no later than January 15 of each year. This year, January 15 falls on a federal holiday. Therefore, new OSHA penalty amounts will become effective Jan. 16, 2024.

OSHA's maximum penalties for serious and other-than-serious violations will increase from \$15,625 per violation to \$16,131 per violation. The maximum penalty for willful or repeated violations will increase from \$156,259 per violation to \$161,323 per violation.

isit the OSHA Penalties page and read the final rule for more information.





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Severe Violator Enforcement Program Expands

- Directs enforcement resources at employers that demonstrate indifference to OSH Act obligations
- 2010-2022 "qualifying" criteria meant being cited w/:
 - *Egregious* (per instance) enforcement actions 12%
 - 1+ Willful, Repeat, or FTA citations related to a Fatality or Catastrophe – 19%
 - 2+ Willfuls or Repeats re: High Emphasis Hazards 68%
 - 3+ W,R, or FTA *Process Safety* citations 1%
- FY23 OSHA updated SVEP qualifying criteria, by dropping High Emphasis Hazard Limitation
- Now any 2+ "high gravity" Willful, Repeat, or FTA violations in the same inspection involving ANY hazards / standards





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OSHA's Instance-By-Instance Enforcement Policy

- 1990 Directive: "Handling of Cases to be Proposed for Violation-By-Violation Penalties" established OSHA's long-time Egregious (Per Instance) Enforcement Policy
- Violations are Willful Plus meet at least 1 of these criteria:
 - Violations resulted in fatality or catastrophe
 - Employer committed so many violations as to undermine an effective safety program
 - Extensive history of violations and/or persistently high injury rates
 - Conduct as a whole = clear bad faith
- 1/26/23 New OSHA enforcement memo:
 "Application of Instance-by-Instance Penalties"
- Replaces Willful Plus threshold for Per Instance citations w/ much lower "High-gravity Serious"

 (high severity/greater probability) and some Other-than-Serious violations



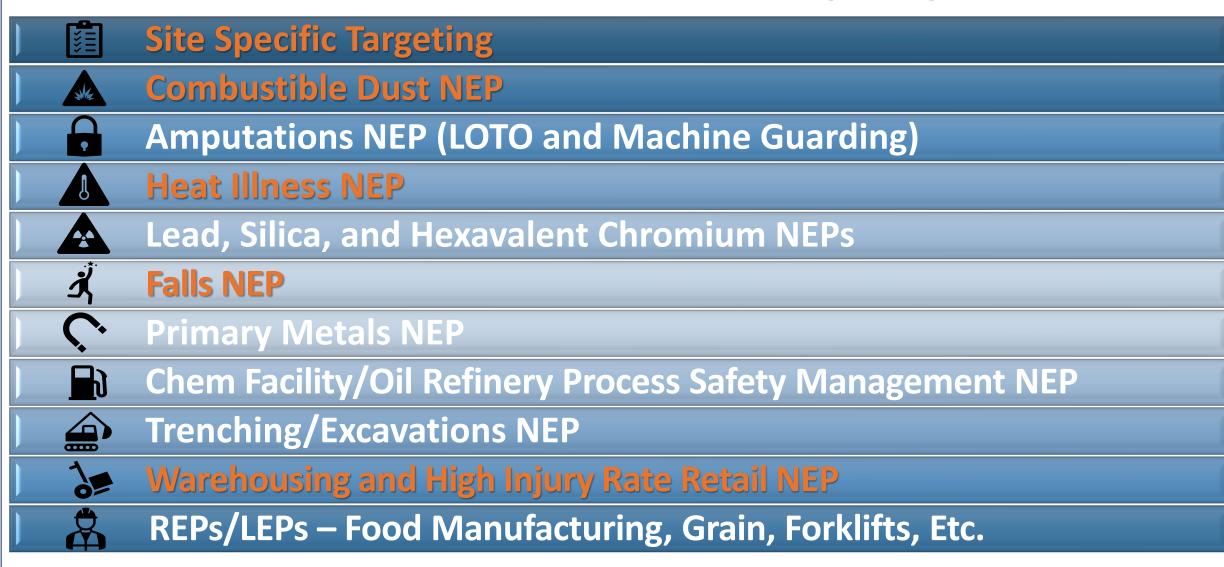


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Proactive Enforcement Targeting



Increase in National, Regional, and Local Emphasis Programs (47% of inspections in FY23)



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OSHA Rulemaking Overview





Biden's OSHA - Top 10 Rulemaking Priorities

- 1. Heat Illness Prevention for Indoor and Outdoor Workplaces
- 2. Worker Walkaround Representative
- Expanding E-Recordkeeping
- 4. Emergency Response
- 5. Update HazCom ('12 aligned w/GHS Rev 3 ('09), now Rev 8)
- 6. Process Safety Management Reform
- 7. Infectious Diseases Rule for Healthcare
- 8. Workplace Violence in Healthcare
- 9. Update Lead Standard (Blood Lead Level)
- 10. Lockout / Tagout Modernization



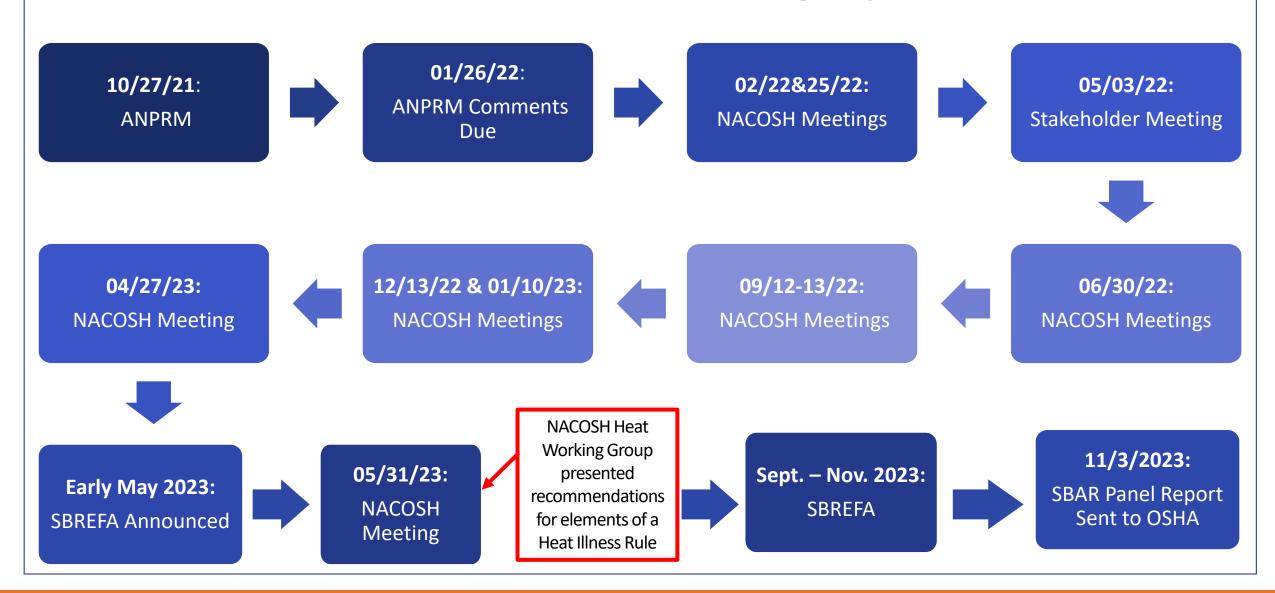


Indoor and Outdoor Heat Illness Prevention Rulemaking





Heat Illness Rulemaking Update





Potential Program Requirements

- 1. Procedures to ID heat hazards, including *environmental monitoring* and *identification of* work processes and external factors that increase the likelihood of heat illness
- 2. Procedures to implement *engineering controls*
- 3. Procedures for *administrative controls*; e.g., *water*, *cool down rest breaks*, *acclimatization* for new/returning employees, and *supervision* of employees for heat illness symptoms
- 4. High-heat procedures
- 5. Emergency response procedures for when employees exhibit symptoms of heat illness
- 6. Training for employees and supervisors
- 7. Selection of a designated individual(s) to *oversee and implement* the HIIPP
- 8. Recordkeeping requirements; e.g., *environmental monitoring data*, records of *heat-related illness incidents*, records of *heat acclimatization for new/returning employees*



Fed/OSHA's Heat National Emphasis Program

- 4/8/22 OSHA launched enforcement NEP for Outdoor and Indoor Heat Hazards
- Focus enforcement on high hazard industries or activities in workplaces w/ prevalent heat hazards (e.g., outdoors in areas w/ a heat wave or indoors w/ radiant heat source)
- NEP directive: "each Region is expected to have a FY goal of increasing heat inspections by 100% above baseline average of FYs 17-21"
- OSHA to initiate programmed inspections in outdoor and indoor work settings in ≈70 high-risk industries when the National Weather Service issues local area heat warning, alert, or advisory.



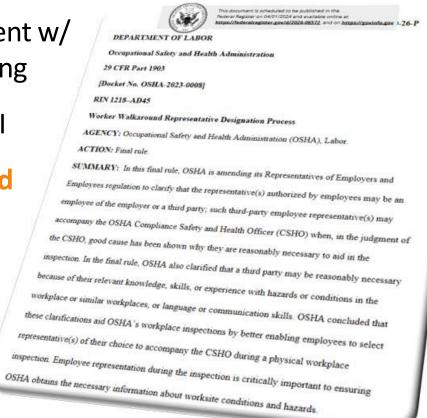
Worker Walkaround Representative Designation Process Rule





Worker Walkaround Representative Rulemaking

- Feb. 2013 OSHA issued a LOI of 1903.8(c) granting unions a right to serve as employee OSHA inspection representatives when OSHA believes their involvement would make a positive contribution to a thorough and effective inspection."
- Industry challenged the Letter, asserting it was so inconsistent w/ 1903.8(c) that it effectively rewrote the reg w/out rulemaking
- April 2017 mid-challenge, Trump's OSHA rescinded the LOI
- Jan. 2023 Biden's OSHA initiated the "Worker Walkaround Representative Designation Process" Rulemaking to amend 1903.8(c) to memorialize the 2013 LOI
- Aug. 30, 2023 OSHA issued NPRM
- April 3, 2024 Final Rule Published in the Fed Register
- May 31, 2024 Effective Date of new Final Rule





Worker Walkaround Representative Rulemaking

Old Reg Text of **1903.8(c)**:

"Representatives authorized by employees shall be employees of the employer. However, if in the judgment of the CSHO, good cause has been shown why accompaniment by a 3rd party who is not an employee of the employer (such as an IH or safety engineer) is reasonably necessary to the conduct of an effective and thorough physical inspection of the workplace, such 3rd party may **accompany** the CSHO during the inspection."

Proposed Reg Text of 1903.8(c):

Representative(s) authorized by employees may be an employee of the employer or a 3rd party. When the representative(s) authorized by employees is not an employee of the employer, they may accompany the CSHO in the inspection if, in the judgment of the CSHO, good cause has been shown why their participation is reasonably necessary to the conduct of an effective and thorough physical inspection of the workplace (e.g., b/c of their relevant knowledge, skills, or experience w/ hazards or conditions in the workplace or similar workplaces, or language skills).

Final New Reg Text of 1903.8(c):

Representative(s) authorized by employees may be an employee of the employer or a 3rd party. When the representative(s) authorized by employees is not an employee of the employer, they may accompany the CSHO during the inspection if, in the judgment of the CSHO, good cause has been shown why accompaniment by a third party is reasonably necessary to the conduct of an effective and thorough **physical inspection** of the workplace (including but not limited to **b/c of their relevant knowledge**, skills, or experience w/ hazards or conditions in the workplace or similar workplaces, or language or communication skills).



Who May Get To "Walkaround" Your Facility?

- Union Representatives at non-union workplaces accessing workplaces and employees for organizing, influencing bargaining, etc.
- Plaintiffs' Attorneys (or their "experts") piggyback on OSHA's broad investigative/subpoena authority (access site, records and evidence, and participate in private employee interviews)
- Disgruntled Former employees
- Attorneys and consultants "experienced in interacting w/ gov't officials," or "w/ relevant cultural competencies"
- Worker advocacy organizations
- Technical experts with more expertise than OSHA has in-house
- Competitors accessing proprietary information
- Customers
- Media



Updates to E-Recordkeeping Rule





Biden's OSHA - Expanded E-Recordkeeping

• 3/30/22 – OSHA issued a Proposed Rule significantly expanding E-Recordkeeping requirements beyond what Obama's OSHA promulgated in '16

 Proposed Final Rule was finalized on July 21, 2023 and became effective Jan. 1, 2024 – in time for March 2024 submission

- Under the final amended E-Recordkeeping Rule:
 - Workplaces w/ 20-249 employees in Appendix A industries will have to submit their 300A Annual Summary data
 - Workplaces w/ 250+ employees in any industry will also still have to submit their 300A Annual Summary data
 - Workplaces w/ 100+ employees in Appendix B industries submit data from 300 Log, 301 Incident Report, and 300A Summary

fee: Contact Len Anne Jill ectorate of Technical port and Emergency Managemen S. Department of Labor; telephone (202) 693-2300; sensil: Hings LouAnneildel.gov SUPPLEMENTARY INFORMATION: C. Litigation Resulting From Previous he public docket without change and nay be made available online at her rials that contain personal others), such as Social Security numbers No. OSHA-2021-0006 at https:// senses, OSHA Form 300 Log of Work material) is not publicly available to form 301 Injury and Illness Incident OSHA Docket Office. 1 Contact the 5 Section 1904.41(b)[10] A Section 1904.41(c) Reporting Dates

tion (CNHA), Labor.



100+ Employees in These Industries

1111	Oilseed and grain farming.		
1112	Vegetable and melon farming.		
1113	Fruit and tree nut farming.		
1114	Greenhouse, nursery, and floriculture production.		
1119	Other crop farming.		
1121	Cattle ranching and farming.		
1122	Hog and pig farming.		
1123	Poultry and egg production.		
1129	Other animal production.		
1141	Fishing.		
1151	Support activities for crop production.		
1152	Support activities for animal production.		
1153	Support activities for forestry.		
2213	Water, sewage and other systems.		
2381	Foundation, structure, and building exterior contractors.		
3111	Animal food manufacturing.		
3113	Sugar and confectionery product manufacturing.		
3114	Fruit and vegetable preserving and specialty food manufacturing.		
3115	Dairy product manufacturing.		
3116	Animal slaughtering and processing.		
3117	Seafood product preparation and packaging.		
3118	Bakeries and tortilla manufacturing.		
3119	Other food manufacturing.		
3121	Beverage manufacturing.		
3161	Leather and hide tanning and finishing.		
3162	Footwear manufacturing.		
3211	Sawmills and wood preservation.		

3212	Veneer, plywood, and engineered wood product manufacturing.
3219	Other wood product manufacturing.
3261	Plastics product manufacturing.
3262	Rubber product manufacturing.
3271	Clay product and refractory manufacturing.
3272	Glass and glass product manufacturing.
3273	Cement and concrete product manufacturing.
3279	Other nonmetallic mineral product manufacturing.
3312	Steel product manufacturing from purchased steel.
3314	Nonferrous metal production and processing.
3315	Foundries.
3321	Forging and stamping.
3323	Architectural and structural metals manufacturing.
3324	Boiler, tank, and shipping container manufacturing.
3325	Hardware manufacturing.
3326	Spring and wire product manufacturing.
3327	Machine shops; turned product; and screw, nut, and bolt manufacturing.
3328	Coating, engraving, heat treating, and allied activities.
3331	Agriculture, construction, and mining machinery manufacturing.
3335	Metalworking machinery manufacturing.
3361	Motor vehicle manufacturing.
3362	Motor vehicle body and trailer manufacturing.
3363	Motor vehicle parts manufacturing.
3366	Ship and boat building.
3371	Household and institutional furniture and kitchen cabinet manufacturing.

3372	Office furniture manufacturing.	4921	Couriers and express delivery services.
4231	Motor vehicle and motor vehicle parts and supplies merchant wholesalers.	4931	Warehousing and storage.
4233	Lumber and other construction materials merchant	5322	Consumer goods rental.
1-00	wholesalers.	5621	Waste collection.
4235	Metal and mineral merchant wholesalers.	5622	Waste treatment and disposal.
4244	Grocery and related product merchant wholesalers.	6219	Other ambulatory health care services.
4248	Beer, wine, and distilled alcoholic beverage merchant	6221	General medical and surgical hospitals.
	wholesalers.	6222	Psychiatric and substance abuse hospitals.
4413	Automotive parts, accessories, and tire stores.	6223	Specialty hospitals.
4422	Home furnishings stores.	6231	Nursing care facilities.
4441	Building material and supplies dealers.	6232	Residential intellectual and developmental disability, mental health, and substance abuse facilities.
4442	Lawn and garden equipment and supplies stores.	6233	Continuing care retirement communities and assisted living
4451	Grocery stores.	0233	facilities for the elderly.
4522	Department stores.	6239	Other residential care facilities.
4523	General merchandise stores, including warehouse clubs and supercenters.	6243	Vocational rehabilitation services.
		7111	Performing arts companies.
4533	Used merchandise stores.	7112	Spectator sports.
4543	Direct selling establishments.	7131	Amusement parks and arcades.
4811	Scheduled air transportation.	7211	Traveler accommodation.
4841	General freight trucking.	7212	RV parks and recreational camps.
4842	Specialized freight trucking.	7223	Special food services.
4851	Urban transit systems.	6239	Other residential care facilities.
4852	Interurban and rural bus transportation.	6243	Vocational rehabilitation services.
4854	School and employee bus transportation.	7111	Performing arts companies.
4859	Other transit and ground passenger transportation.	7112	Spectator sports.
4871	Scenic and sightseeing transportation, land.	7131	Amusement parks and arcades.
4881	Support activities for air transportation.	7211	Traveler accommodation.
4883	Support activities for water transportation.	7212	RV parks and recreational camps.
4911	Postal Service.	7223	Special food services.



OSHA Injury and Illness Recordkeeping and Reporting Masterclass

01

OSHA Recordkeeping

- Scope of OSHA's Recordkeeping Authority
- OSHA Recordkeeping Forms
- Steps to Recordkeeping

- Recordkeeping Hypotheticals
- Summarize, Certify, Post and Maintain
- 5 Common Recordkeeping Mistakes

02

Significant Injury and Fatality Reporting

- Hospitalization, Amputation, Fatality Reqs
- Reporting Timing Issues

- Common Reporting Mistakes
- What Happens After You Report?

03

E-Recordkeeping

- Recordkeeping Data Submission Reqs
- How to Use OSHA's Injury Tracking App
- E-Recordkeeping Enforcement and Site-Specific Targeting Inspection

Scan the QR code below for more info!



04

COVID-19 Recordkeeping and Reporting



California's New General Industry Workplace Violence Law (SB 556)





New Workplace Violence Law In California (SB 553)

- Signed by Gov. Newsom on <u>September 20, 2023</u>
- Virtually all California employers are required to establish, implement, and maintain an effective Workplace Violence Prevention Program by July 1, 2024
- Exempt Workplaces under Labor Code Sec. 6401.9:
 - 1. Facilities covered by and that comply w/ Cal/OSHA's Violence Prevention in Health Care std.;
 - 2. Facilities operated by the Calif. Dept. of Corrections and Rehabilitation;
 - 3. Law enforcement agencies;
 - 4. Teleworking employees; and
 - 5. Workplaces not publicly accessible w/ fewer than 10 employees present at any one time



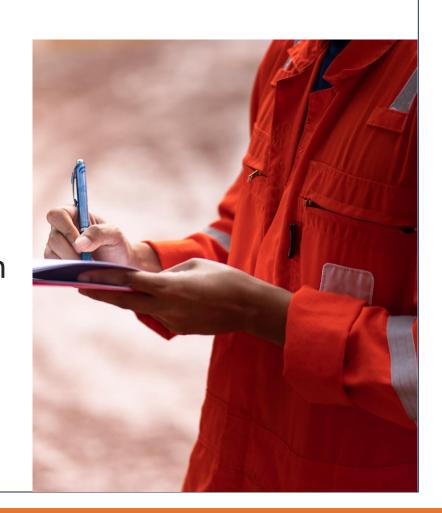
SB 553 – Workplace Violence Definitions

- "Workplace violence" means any act of violence or threat of violence that occurs in a place of employment, including (but not limited to):
 - 1. A threat or use of physical force against an employee that results in or has a high likelihood of resulting in injury, psychological trauma, or stress
 - 2. Incident involving a threat or use of a firearm or other dangerous weapon, including common objects as weapons (regardless if employee sustains an injury)
- "Threat of violence" = any verbal or written statement, including texts, electronic
 or social media messages or posts, or any behavioral or physical conduct that
 conveys or reasonably could be perceived to convey intent to cause or place
 someone in fear of physical harm, and that serves no legitimate purpose



SB 553 – Workplace Violence Program Overview

- Establish, implement, and maintain a written sitespecific Workplace Violence Prevention Plan
- Create and maintain records of:
- Workplace violence incidents (broadly defined)
- WPV hazard identification, evaluation, and correction
- Workplace violence incidents (WPV Incident Log) and investigations of such incidents
- Extensive Interactive Training





California Workplace Violence Prevention Compliance Solutions

Workplace Violence Prevention Plan

We will develop a customized, compliant written Workplace Violence Prevention Plan along w/ all ancillary documents and sub-policies.

Gap Assessment of Related Policies

To ensure alignment, we will perform a Gap Assessment of existing, related policies that intersect with your new Workplace Violence Prevention Plan.

Workplace Violence Prevention Training

We will develop customized training materials and conduct initial interactive training for all affected employees and "train the trainer."

Attorney Consultation Hours

A block of Attorney Consultation Hours to use within a year to help you roll out your new program.







the **OSHA Defense** report



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- - - 2024 OSHA Webinar series - - -

2023 in Review and 2024 Forecast Wednesday, January 17 th	OSHA Recordkeeping, E-Recordkeeping and Reporting Thursday, February 8th		
OSH and Employment Law Compliance in ESG Programs Thursday, March 21st	Preventing and Responding to Workplace Violence Wednesday, April 24th		
Mid-Year OSHA and MSHA Review Tuesday, May 28 th	Impact of EPA's TSCA Regs on OSHA Chemical Safety Wednesday, June 12th		
National and Local Enforcement Emphasis Programs Thursday, July 18th	Process Safety Management and CalARP Monday, August 5 th		
Unique Aspects of State OSH Plans Thursday, September 19 th	Whistleblower and Retaliation Complaints Wednesday, September 25 th		
2 nd Annual Cal/OSHA and Employment Law Summit Tues., October 8 th and Thurs., October 10 th	OSHA Hazard Communication Standard Update Tuesday, October 15th		
Intersection of Artificial Intelligence and OSHA Law Wednesday, November 13th	12 Ways to Improve Your OSHA Readiness Wednesday, December 18th		



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- - - 2024 Cal/OSHA webinar series - - -

California's Workplace Violence Prevention Law Thursday, November 9th (2023)	Cal/OSHA Enforcement and Regulatory Update Tuesday, December 12th (2023)		
California's Indoor Heat Regulation Tuesday, January 30 th	Top Cal/OSHA Violations Wednesday, February 21st		
OSH and Employment Law Compliance in ESG Programs Thursday, March 21st	Responding to Workplace Violence Wednesday, April 24th		
Mid-Year Review of Cal/OSHA Developments Wednesday, May 22 nd	Process Safety Management and CalARP Monday, August 5 th		
Prepare for and Manage Cal/OSHA Inspections Wednesday, August 21st	CMC's 2 nd Annual Cal/OSHA and Employment Law Summit Tuesday, October 8 th and Thursday, October 10 th		
Artificial Intelligence and OSH/Employment Law Wednesday, November 13th	2025 Cal/OSHA Enforcement and Regulatory Forecast Thursday, December 5 th		

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Questions?

